

01-19-01

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January 18, 2001

BOX PATENT APPLICATION
Assistant Director For Patents
Washington, D.C. 20231

EXPRESS MAIL MAILING LABEL NO.: EL589919175US
DATE OF DEPOSIT: JANUARY 18, 2001
I hereby certify that this paper or fee is being deposited with the
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Patents, Washington, DC 20231.

Derrick T. Gordon

Name of Person Mailing Paper or Fee

Derrick T. Gordon
Signature

January 18, 2001

Date of Signature

Re: New U.S. Patent Application
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED
DEVICE
By: Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki
TERAMOTO, Kyu TAKADA, Takuji HATANO, Shinji MARUYAMA,
and Tsuyoshi IWAMOTO
Attorney Docket: 15162/03060

Dear Sir:

Enclosed for filing are the following papers relating to
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE, Koujirou
SEKINE, Mitsuru YOKOYAMA, Miyuki TERAMOTO, Kyu TAKADA, Takuji
HATANO, Shinji MARUYAMA, and Tsuyoshi IWAMOTO, inventors:

- (1) Specification (27 pages, including 15 claims and an
abstract);
- (2) Unexecuted Declaration and Power of Attorney (12
pages);
- (3) Formal Drawings (15 sheets);

jc961 U.S. PTO
01/18/01

jc872 U.S. PTO
09/765229

10/61/01

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January 18, 2001
Page 2

- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (3 pages);
- (5) Transmittal letter (1 page) with two Certified Copies of Priority Documents (19 pages and 40 pages); and
- (6) Information Disclosure Statement (3 pages) with attached PTO-1449 form (1 page) and copies of the cited references (16 references).

The amount of the filing fee is calculated below:

			Fee for small entity			Fee for other than small entity	
Fee	No. filed	No. extra *	Rate	Fee	OR	Rate	Fee
Basic fee				\$355	OR		\$710
Total claims	15-20=	0	X \$9=	\$0	OR	X \$18=	\$-0-
Independent claims	4-3=	1	X \$40=	\$0	OR	X \$80=	\$80
Multiple Dependent Claim Presented	0	0	+\$135=	\$0	OR	+\$270=	\$-0-
			Total	\$0	OR	Total	\$790

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Please charge the required fee (\$790.00 for the application filing fee) to Sidley & Austin's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

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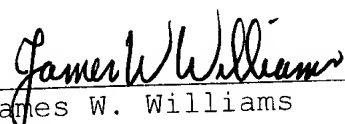
DALLAS

Assistant Director For Patents
January 18, 2001
Page 3

All correspondence is to be directed to the address
associated with the customer number 24367.

Respectfully submitted,

By:


James W. Williams
Registration No. 20,047
Attorney for Applicants

JWW/mhg
Enclosures

09765239-044504
T03T0 6229450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: Koujirou SEKINE, Mitsuru YOKOYAMA,
Miyuki TERAMOTO, Kyu TAKADA, Takuji
HATANO, Shinji MARUYAMA, and Tsuyoshi
IWAMOTO

For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL
INTEGRATED DEVICE

U.S. Serial No.: To Be Assigned

Filed: Concurrently

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

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Assistant Director

for Patents

Washington, D.C. 20231

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Derrick T. Gordon

Name of Person Mailing Paper or Fee

Derrick T. Gordon

Signature

January 18, 2001

Date of Signature

TRANSMITTAL OF PrintEFS PATENT APPLICATION

BIBLIOGRAPHIC DATA

Submitted herewith is bibliographic data (3 pages) for the
above-identified application, in the PrintEFS Version 1.0.1
program format.

Respectfully submitted,

James W. Williams
James W. Williams
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Attorney for Applicants

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